# Strong converse theorems using Rényi entropies

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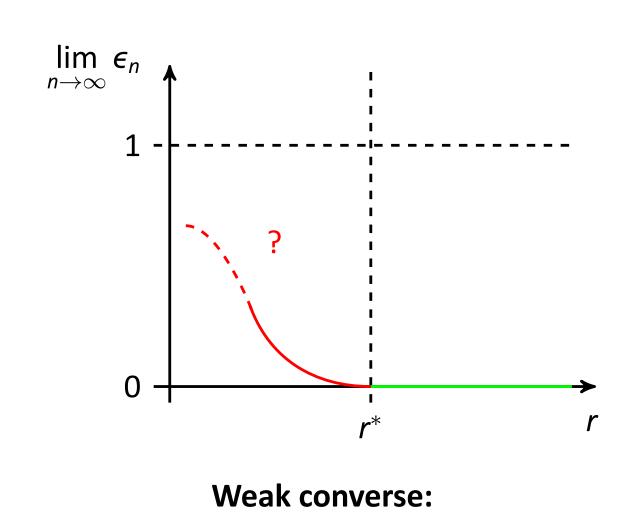


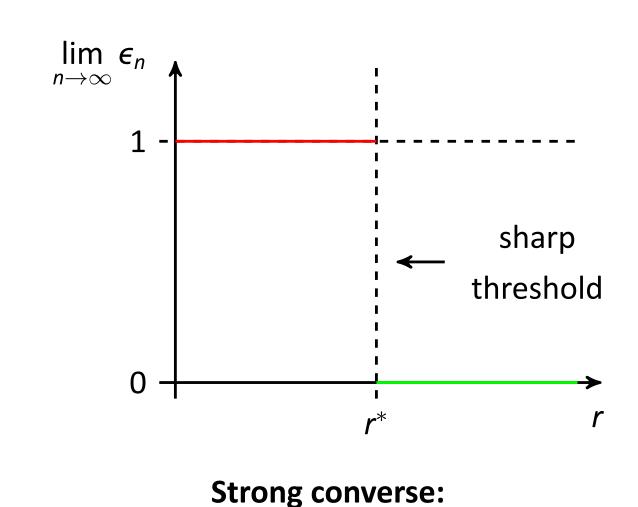


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#### Weak vs. strong converse

Consider an information-theoretic task that takes n copies of some input state  $\rho$ , and accomplishes the goal of the task up to an error  $\epsilon_n$  consuming an available resource at a rate r. If there is a protocol (or code) satisfying  $\lim_{n\to\infty} \epsilon_n = 0$ , we say that r is an achievable rate. The **optimal rate**  $r^*$  is the infimum over all achievable rates. A **coding theorem** establishes  $r^* = f(\rho)$  for some entropic quantity f.





If  $r < r^*$  then  $\lim_{n \to \infty} \epsilon_n = 1$ .

#### How can we prove strong converse theorems?

Find bounds on the error  $\epsilon_n$  in terms of Rényi entropies.

If  $r < r^*$  then  $\lim_{n \to \infty} \epsilon_n > 0$ .

#### Rényi entropies

▶ **Definition:** For  $\alpha \in (0, \infty) \setminus \{1\}$  and states  $\rho, \sigma$  with supp  $\rho \subseteq \text{supp } \sigma$ , the **sandwiched Rényi divergence of order**  $\alpha$  [MDS+13, WWY14] is defined as

$$\widetilde{D}_{lpha}(
ho\|\sigma)\coloneqq rac{1}{lpha-1}\log \mathrm{Tr}\left(\sigma^{(1-lpha)/(2lpha)}
ho\sigma^{(1-lpha)/(2lpha)}
ight)^{lpha}.$$

▶ Data processing inequality: For a quantum channel  $\Lambda$  and  $\alpha \ge 1/2$ , we have [Bei13, FL13]

$$\widetilde{D}_{\alpha}(\rho \| \sigma) \geq \widetilde{D}_{\alpha}(\Lambda(\rho) \| \Lambda(\sigma)).$$

▶ Limit property:  $\lim_{\alpha \to 1} \widetilde{D}_{\alpha}(\rho \| \sigma) = D(\rho \| \sigma) = \text{Tr}[\rho(\log \rho - \log \sigma)].$ 

**▶** Derived quantities:

- $ightharpoonup ext{Rényi entropy: } S_{\alpha}(A)_{\rho} = -\widetilde{D}_{\alpha}(\rho_{A} \| I_{A})$
- ightharpoonup Rényi conditional entropy (RCE):  $S_{\alpha}(A|B)_{\rho} \coloneqq -\min_{\sigma_{B}} \widetilde{D}_{\alpha}(\rho_{AB}||I_{A}\otimes\sigma_{B})$
- ightharpoonup Rényi mutual information (RMI):  $I_{\alpha}(A;B)_{\rho} \coloneqq \min_{\sigma_{B}} \widetilde{D}_{\alpha}(\rho_{AB} || \rho_{A} \otimes \sigma_{B})$
- ightharpoonup RCE and RMI inherit the data processing inequality from  $\widetilde{D}_{\alpha}(\cdot||\cdot)$ , and we have

$$\lim_{\alpha \to 1} S_{\alpha}(A)_{\rho} = S(\rho) = -\operatorname{Tr}(\rho_{A} \log \rho_{A})$$

$$\lim_{\alpha \to 1} S_{\alpha}(A|B)_{\rho} = S(A|B)_{\rho} = S(AB)_{\rho} - S(B)_{\rho}$$

$$\lim_{\alpha \to 1} I_{\alpha}(A;B)_{\rho} = I(A;B)_{\rho} = S(A)_{\rho} - S(A|B)_{\rho}$$

### **Extending the Rényi entropy calculus**

# Dimension bounds

For  $\alpha \geq 1/2$  and a tripartite state  $\rho_{ABC}$  with C quantum,

$$S_{\alpha}(A|BC)_{\rho} + 2\log|C| \geq S_{\alpha}(A|B)_{\rho}$$

$$I_{\alpha}(A;B)_{\rho} + 2 \log |C| \geq I_{\alpha}(A;BC)_{\rho}.$$

whereas for  $\rho_{ABX}$  with X classical,

$$S_{\alpha}(A|BX)_{\rho} + \log|X| \ge S_{\alpha}(A|B)_{\rho}$$

$$I_{\alpha}(A; B)_{\rho} + \log |X| \ge I_{\alpha}(A; BX)_{\rho}.$$

### Uncorrelated states

For  $\alpha \geq 1/2$  and product states  $\tau_{AB} \otimes \theta_{C}$ ,

$$S_{\alpha}(A|BC)_{\tau\otimes\theta}=S_{\alpha}(A|B)_{\tau}$$

$$I_{\alpha}(A;BC)_{\tau\otimes\theta}=I_{\alpha}(A;B)_{\tau}.$$

### Fidelity bounds

For  $\alpha \in (1/2, 1)$ ,  $\beta = \alpha/(2\alpha - 1)$ , and bipartite states  $\rho_{AB}$  and  $\sigma_{AB}$ ,

$$S_{\alpha}(A|B)_{\rho} - S_{\beta}(A|B)_{\sigma} \ge \frac{2\alpha}{1-\alpha} \log F(\rho_{AB}, \sigma_{AB}) \quad \text{where } F(\omega, \tau) \coloneqq \left\| \sqrt{\omega} \sqrt{\tau} \right\|_{1}$$

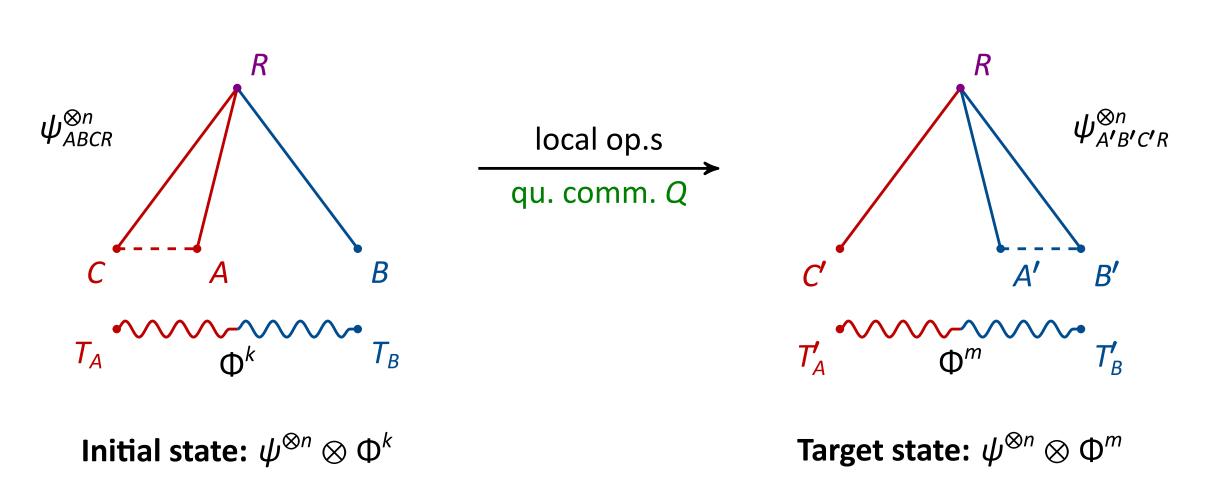
$$I_{\beta}(A;B)_{\rho} - I_{\alpha}(A;B)_{\sigma} \ge \frac{2\alpha}{1-\alpha} \log F(\rho_{AB}, \sigma_{AB}).$$

### Discarding classical information

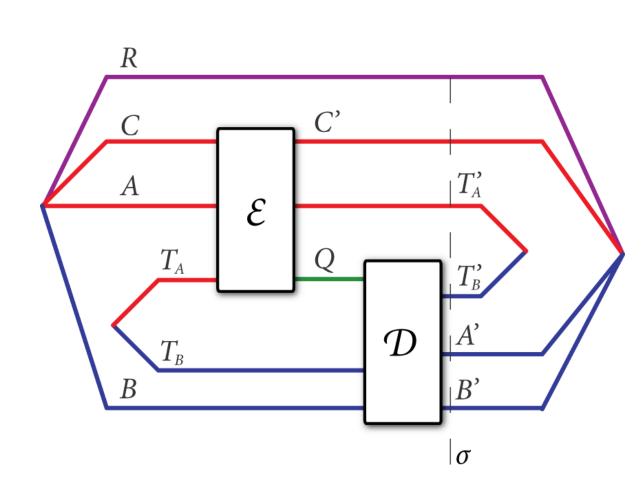
For  $\alpha > 0$  and a tripartite state  $\rho_{ABX}$  with X classical,

$$S_{\alpha}(AX|B)_{\rho} \geq S_{\alpha}(A|B)_{\rho}.$$

#### **State redistribution**



- ▶ Initial situation: Alice (AC,  $T_A$ ) and Bob (B,  $T_B$ ) share n copies of a tripartite state  $\rho_{ABC}$  (purified by  $\psi_{ABCR}$  where R is inaccessible) and a maximally entangled state (MES)  $\Phi_{T_AT_B}^k$  of Schmidt rank k.
- ▶ **Goal:** Transfer A system to Bob while preserving all correlations with R, using local operations (encoding  $\mathcal{E}$  and decoding  $\mathcal{D}$ ) and noiseless quantum communication (Q) from Alice to Bob.
- ▶ Target state: n copies of  $\rho_{A'B'C'}$  (where A' is with Bob) and some MES  $\Phi^m_{T'_AT'_B}$  of Schmidt rank m.



State redistribution protocol

- ▶ **Figure of merit:** Fidelity  $F_n := F(\psi^{\otimes n} \otimes \Phi^m, \sigma_n)$ , where  $\sigma_n$  is the final state of the protocol.
- ▶ Quantum communication cost:  $q := \frac{1}{n} \log |Q|$
- ► Entanglement cost:  $e := \frac{1}{n} (\log |T_A| \log |T_A'|) = \frac{1}{n} (\log k \log m)$
- ▶ **Optimal rates:** [LD09, YD09] We have  $\lim_{n\to\infty} F_n = 1$  if and only if

$$q \ge \frac{1}{2}I(A;R|B)_{\rho} \qquad q + e \ge S(A|B)_{\rho},$$

where  $I(A; R|B)_{\rho} = S(R|B)_{\rho} - S(R|AB)_{\rho}$  is the conditional mutual information.

# Strong converse theorem

# Strong converse bounds on fidelity

Let  $\alpha \in (1/2, 1)$  and set  $\beta = \alpha/(2\alpha - 1)$  and  $\kappa(\alpha) = (1 - \alpha)/2\alpha$ . For every state redistribution protocol with initial state  $\rho_{ABC}$  as described above, the fidelity  $F_n$  satisfies the following bounds:

$$F_n \le \exp\left\{-n\kappa(\alpha)\left[S_{\beta}(AB)_{\rho} - S_{\alpha}(B)_{\rho} - (q+e)\right]\right\}$$
$$F_n \le \exp\left\{-n\kappa(\alpha)\left[S_{\beta}(R|B)_{\rho} - S_{\alpha}(R|AB)_{\rho} - 2q\right]\right\}$$

As an alternative to the second inequality, we have

$$F_n \leq \exp\left\{-n\kappa(\alpha)\left[I_{\alpha}(R;AB)_{\rho} - I_{\beta}(R;B)_{\rho} - 2q\right]\right\}.$$

- ► The quantity  $S_{\beta}(AB)_{\rho} S_{\alpha}(B)_{\rho}$  is a Rényi generalization of the conditional entropy  $S(A|B)_{\rho}$  in the sense that  $S_{\beta}(AB)_{\rho} S_{\alpha}(B)_{\rho} \xrightarrow{\alpha \to 1} S(A|B)_{\rho}$ .
- Assume that  $q + e < S(A|B)_{\rho}$  (i.e. rate is in **converse region**). Then, there is an  $1/2 < \alpha_0 < 1$  such that we have  $\kappa(\alpha_0) \left[ S_{\beta_0}(AB)_{\rho} S_{\alpha_0}(B)_{\rho} (q + e) \right] > 0$  where  $\beta_0 = \alpha_0/(2\alpha_0 1)$ .
- $\blacktriangleright$  The same reasoning applies to the bounds above involving 2q, leading to the following theorem:

### Strong converse theorem for state redistribution (see also\* [BCT14])

Let  $\rho_{ABC}$  be a tripartite state. For any state redistribution protocol with rates q and e satisfying  $q+e < S(A|B)_{\rho}$  or  $q<\frac{1}{2}I(A;R|B)_{\rho}$ , the fidelity decays exponentially fast, i.e. there is a  $\delta>0$  such that for every  $n\in\mathbb{N}$  we have  $F_n\leq \exp\left(-n\delta\right)$ .

- \* While the authors in [BCT14] first proved a strong converse theorem only for q, our original contribution was the corresponding theorem for q + e. Both papers now include the full theorem as stated above.
- ► In [LWD15] we also prove similar strong converse theorems for:
  - ➤ State redistribution with feedback (allowing back-communication from Bob to Alice)

  - Data compression with QSI